

# Possible Definitions of an 'A Priori' Granule in General Rough Set Theory

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## ABSTRACT

We introduce an abstract framework for general rough set theory from a mereological perspective and consider possible concepts of 'a priori' granules and granulation in the same. The framework is ideal for relaxing many of the relatively superfluous set-theoretic axioms and for improving the semantics of many relation based, cover-based and dialectical rough set theories. This is a relatively simplified presentation of a section in three different recent research papers by the present author.

# Outline

- ① Nonstandard Introduction
- ② Rough Y-Systems (RYS)
- ③ Granules: Possible Properties

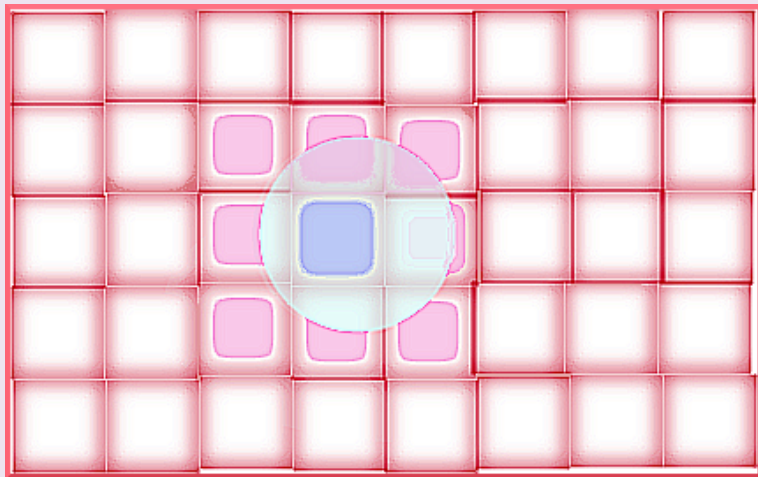
## INTRODUCTION

- A Space of interest in General RST consists of objects, some of which are more definite than others and some others are more approximate than others.
- In general we use operations on the objects to form their approximations and may be able to define the concept of definiteness in terms of formulas constructed from them.
- In many situations, we may be actually able to derive this 'space of interest' from more basic objects called 'granules' possessing different properties.

## Simplest Case

- **Approximation Space:**  $S = \langle \underline{S}, R \rangle$ , where  $\underline{S}$  is a set and  $R$  is an equivalence.
- If  $A \subset S$ ,  $A^l = \bigcup \{[x]; [x] \subseteq A\}$  and  $A^u = \bigcup \{[x]; [x] \cap A \neq \emptyset\}$  are the lower and upper approximation of  $A$  respectively
- $A$  is *definite* iff  $A^l = A = A^u$ .
- Rough Inclusion:  $A \sqsubseteq B$  iff  $A^l \subseteq B^l$  and  $A^u \subseteq B^u$ .
- Rough Equality:  $A \approx B$  iff  $A \sqsubseteq B$  and  $B \sqsubseteq A$ .

## Example



## Standard Example

## Granules in RST

- Classical RST: Granules are the minimal definite elements. The set of all granules forms a granulation for the semantics.
- Classical RST: Granules are precisely the equivalence classes determined by the equivalence relation (An a priori Definition)
- In most cover based and other relation based RSTs, the most appropriate concept of granules emerge after the formulation of the semantics.
- This is problematic for semantics and applications that require some specific conditions on the granules used.
- The Lesniewski ontology based mereological approach makes heavy use of membership functions in basic definitions and has related concepts of granularity - this is problematic from the point of view of foundations.
- The scope of definitions of granules in the literature have been very restricted for many reasons.

## AIAU Systems

Let  $S$  be a set and  $\mathcal{K} = \{K_i\}_1^n$  be a collection of subsets of it such that  $\bigcup \mathcal{K} = S$ . If  $X \subseteq S$ , then consider the sets (with  $K_0 = \emptyset$ ,  $K_{n+1} = S$ )

- ①  $X^{l1} = \bigcup \{K_i : K_i \subseteq X, i \in \{0, 1, \dots, n\}\}$
- ②  $X^{l2} = \bigcup \{\cap(S \setminus K_i) : \cap_l(S \setminus K_i) \subseteq X, l \subseteq \{1, \dots, n+1\}\}$
- ③  $X^{u1} = \bigcap \{\cup K_i : X \subseteq \cup K_i, i \in \{1, \dots, n+1\}\}$
- ④  $X^{u2} = \bigcap \{S \setminus K_i : X \subseteq S \setminus K_i, i \in \{0, \dots, n\}\}$

The pair  $(X^{l1}, X^{u1})$  is called a *AU-rough set* by union, while  $(X^{l2}, X^{u2})$  a *AI-rough set* by intersection. In [AM960], we show that the elements of  $\mathcal{K}$  are not the best possible granules for the approximations. Obviously the elements of  $\mathcal{K}$  are not 'definite' in many senses in general.



## Rough Y-Systems (RYS)

- $S = \langle S, W, \mathbf{P}, (l_i)_1^n, (u_i)_1^n, +, \cdot, \sim, 1 \rangle$
- $(\forall x)\mathbf{P}_{xx}$  ;  $(\forall x, y)(\mathbf{P}_{xy}, \mathbf{P}_{yx} \longrightarrow x = y)$
- For each  $i, j$ ,  $l_i, u_j$  are surjective functions :  $S \mapsto W$
- For each  $i$ ,  $(\forall x, y)(\mathbf{P}_{xy} \longrightarrow \mathbf{P}(l_i x)(l_i y), \mathbf{P}(u_i x)(u_i y))$
- For each  $i$ ,  $(\forall x)\mathbf{P}(l_i x)_x, \mathbf{P}(x)(u_i x), \mathbf{P}(l_i x)(u_i l_i x), \mathbf{P}(l_i u_i x)(u_i x)$
- For each  $i$ ,  $(\forall x)(\mathbf{P}(u_i x)(l_i x) \longrightarrow x = l_i x = u_i x)$

In the definition of a RYS, it makes sense to relax the surjectivity of  $u_i, l_i$ . The resulting structure will be called a *general RYS*.

## Continued

Overlap:  $\mathbf{O}_{xy}$  iff  $(\exists z) \mathbf{P}_{zx} \wedge \mathbf{P}_{zy}$ ; Underlap:  $\mathbf{U}_{xy}$  iff  $(\exists z) \mathbf{P}_{xz} \wedge \mathbf{P}_{yz}$

Proper Part:  $\mathbf{P}_{xy}$  iff  $\mathbf{P}_{xy} \wedge \neg \mathbf{P}_{yx}$ ; Overcross:  $\mathbf{X}_{xy}$  iff  $\mathbf{O}_{xy} \wedge \neg \mathbf{P}_{xy}$

Proper Overlap:  $\mathbf{O}_{xy}$  iff  $\mathbf{X}_{xy} \wedge \mathbf{X}_{yx}$

Sum:  $x + y = \iota z (\forall w) (\mathbf{O}_{wz} \leftrightarrow (\mathbf{O}_{wx} \vee \mathbf{O}_{wy}))$

Product:  $x \cdot y = \iota z (\forall w) (\mathbf{P}_{wz} \leftrightarrow (\mathbf{P}_{wx} \wedge \mathbf{P}_{wy}))$

Difference:  $x - y = \iota z (\forall w) (\mathbf{P}_{wz} \leftrightarrow (\mathbf{P}_{wx} \wedge \neg \mathbf{O}_{wy}))$

Associativity: Assumption:  $+$ ,  $\cdot$  are associative

## Clarifications:

- The 'parthood relation'  $\mathbf{P}$  is intended as a general form of 'rough inclusion'.
- Interestingly many semantics of general RST do not make use of any 'rough inclusions' at all as their intent is not to describe 'roughly equivalent objects'. Example: Cattaneo's BZ-algebras and variants.
- In classical RST, 'supplementation' in the stricter sense,  $(\neg \mathbf{P}_{xy} \longrightarrow \exists z(\mathbf{P}_{zx} \wedge \neg \mathbf{O}_{zy}))$  does not hold), while the weaker version  $(\neg \mathbf{P}_{xy} \longrightarrow \exists z(\mathbf{P}_{zx} \wedge \neg \mathbf{O}_{zy}))$  is trivially satisfied due to the existence of the empty object ( $\emptyset$ ).
- Non-transitivity of  $\mathbf{P}$  can be the result of adding attributes in even simpler cases.

## Continued

- Handle-Door-House example situations can happen in a more general sense.
- Problem of Ontological Innocence
- Sum operation can cause 'Plural reference', but is not a major problem here as we are dealing with an abstract object.
- General RYS with transitivity of the parthood relation can be related to 'property systems' of Vakarelov and include 'information quantum relation system' (Theorem)

## Granules: Possible Properties

Representability, RA  $\forall i, (\forall x)(\exists y_1, \dots, y_r \in \mathcal{G}) y_1 + y_2 + \dots + y_r = x^{l_i}$  and  
 $(\forall x)(\exists y_1, \dots, y_p \in \mathcal{G}) y_1 + y_2 + \dots + y_p = x^{u_i}$

Weak RA, WRA  $\forall i, (\forall x \exists y_1, \dots, y_r \in \mathcal{G}) t_i(y_1, y_2, \dots, y_r) = x^{l_i}$  and  
 $(\forall x)(\exists y_1, \dots, y_r \in \mathcal{G}) t_i(y_1, y_2, \dots, y_r) = x^{u_i}$

Absolute Crispness, ACG For each  $i, (\forall y \in \mathcal{G}) y^{l_i} = y^{u_i} = y$

Weak Crispness, WCG  $\exists i, (\forall y \in \mathcal{G}) y^{l_i} = y^{u_i} = y$ .

Mereological Atomicity, MER  $\exists i,$

$$(\forall y \in \mathcal{G})(\forall x \in \mathcal{S})(\mathbf{P}_{xy}, x^{l_i} = x^{u_i} = x \longrightarrow x = y)$$

## Granules: Continued

Lower Stability, LS  $\exists i, (\forall y \in \mathcal{G})(\forall x \in \mathcal{S})(\mathbf{P}_{yx} \longrightarrow \mathbf{P}(y)(x^{l_i}))$

Upper Stability, US  $\exists i, (\forall y \in \mathcal{G})(\forall x \in \mathcal{S})(\mathbf{O}_{yx} \longrightarrow P(y)(x^{u_i}))$

Stability, ST Shall be the same as the satisfaction of LS and US.

Absolute Stability, AS Same as the satisfaction of ST for every  $i$

No Overlap, NO  $(\forall x, y \in \mathcal{G}) \neg \mathbf{O}_{xy}$

Full Underlap, FU  $\exists i, (\forall x, y \in \mathcal{G})(\exists z \in \mathcal{S}) \mathbb{P}_{xz}, \mathbb{P}_{yz}, z^{l_i} = z^{u_i} = z$

Unique Underlap, UU For at least one  $i, (\forall x, y \in \mathcal{G})(\mathbb{P}_{xz}, \mathbb{P}_{yz}, z^{l_i} = z^{u_i} = z, \mathbb{P}_{xb}, \mathbb{P}_{yb}, b^{l_i} = b^{u_i} = b \longrightarrow z = b)$

## Definition

### Definition

A subset  $\mathcal{G}$  of  $S$  in a RYS will be said to be an *admissible set of granules* provided the properties WRA, LS and FU are satisfied by it. Using more properties we can define posets of possible granulations

- $\mathcal{K}$  in *AUAI* systems are admissible granulations. But these can be refined (see AM960 for details) for the same approximations. So I refer to the former as 'initial granules' and the latter are relatively *refined granules*.
- Other definitions of granules exist in the literature, but they do not refer to specific properties or are not general enough

**Conclusion** : We have axiomatically defined a Poset of granules from a mereological perspective of general rough set theory. The motivation has been in the requirements of foundational studies, applications to cover based RST and dialectical RST.

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