

# Summary of the Mathematical Notation

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# Outline

- ① Foundation for Deductive and Formal Proofs
  - Concept of Sequent and Inference Rule
  - Backward and Forward Reasoning
  - Basic Inference Rules
- ② A Quick Review of Propositional Calculus
- ③ A Quick Review of First Order Predicate Calculus
- ④ A Refresher on Set Theory
  - Basic Constructs
  - Extensions



# Foundation for Deductive and Formal Proofs

- **Reason:** We want to understand how **proofs can be mechanized**.
- **Topics:**
  - Concepts of **Sequent** and **Inference Rule**.
  - **Backward** and **Forward** reasoning
  - **Basic** Inference Rules.



# Sequent

- **Sequent** is the generic name for “something we want to prove”
- We shall be **more precise later**



# Inference Rule

- An **inference rule** is a **tool** to perform a formal proof
- It is denoted by:

$$\frac{A}{C}$$

- $A$  is a (possibly empty) **collection** of sequents: the **antecedents**
- $C$  is a sequent: the **consequent**

The proofs of each sequent of  $A$   
—— together give you ——  
a proof of sequent  $C$



# Backward and Forward Reasoning

Given an inference rule  $\frac{A}{C}$  with **antecedents**  $A$  and **consequent**  $C$

- **Forward reasoning:**  $\frac{A}{C} \downarrow$   
Proofs of each sequent in  $A$  give you a proof of the consequent  $C$
- **Backward reasoning:**  $\frac{A}{C} \uparrow$   
In order to get a proof of  $C$ , it is sufficient to have proofs of each sequent in  $A$

Proofs are **usually** done using **backward reasoning**



# “Executing” the Proof of a Sequent $S$ (backward reasoning)

We are given:

- a **collection**  $\mathcal{T}$  of **inference rules** of the form  $\frac{A}{C}$
- a sequent **container**  $K$ , containing  **$S$  initially**

while  $K$  is not empty

choose a rule  $\frac{A}{C}$  in  $\mathcal{T}$  whose consequent  $C$  is in  $K$ ;

replace  $C$  in  $K$  by the antecedents  $A$  (if any)

This proof method is said to be **goal oriented**.



# Proof of $S1$

$r1 \frac{}{S2}$    
  $r2 \frac{S7}{S4}$    
  $r3 \frac{S2 \quad S3 \quad S4}{S1}$    
  $r4 \frac{}{S5}$    
  $r5 \frac{S5 \quad S6}{S3}$    
  $r6 \frac{}{S6}$    
  $r7 \frac{}{S7}$

$S1$   
 $?$

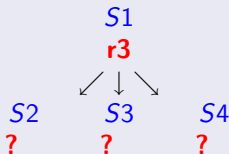
- The proof is a **tree**
- We have shown here a **depth-first** strategy





# Proof of S1

$r1 \frac{}{S2}$    
  $r2 \frac{S7}{S4}$    
  $r3 \frac{S2 \quad S3 \quad S4}{S1}$    
  $r4 \frac{}{S5}$    
  $r5 \frac{S5 \quad S6}{S3}$    
  $r6 \frac{}{S6}$    
  $r7 \frac{}{S7}$

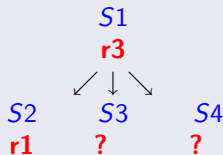


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# Proof of S1

$r1_{\overline{S2}}$     $r2_{\overline{S4} \overline{S7}}$     $r3_{\overline{S2} \overline{S3} \overline{S4}}$     $r4_{\overline{S5}}$     $r5_{\overline{S5} \overline{S6} \overline{S3}}$     $r6_{\overline{S6}}$     $r7_{\overline{S7}}$

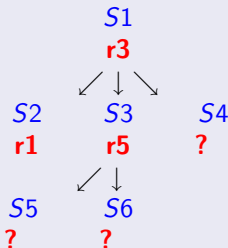


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# Proof of S1

$r1_{\overline{S2}}$     $r2_{\overline{S4}}$     $r3_{\overline{S2} \overline{S3} \overline{S4}}$     $r4_{\overline{S5}}$     $r5_{\overline{S5} \overline{S6}}$     $r6_{\overline{S6}}$     $r7_{\overline{S7}}$

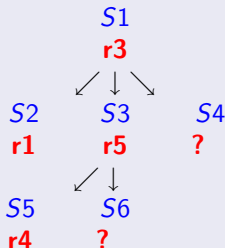


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# Proof of S1

$r1_{\overline{S2}}$     $r2_{\overline{S4}}$     $r3_{\overline{S2} \overline{S3} \overline{S4}}$     $r4_{\overline{S5}}$     $r5_{\overline{S5} \overline{S6}}$     $r6_{\overline{S6}}$     $r7_{\overline{S7}}$

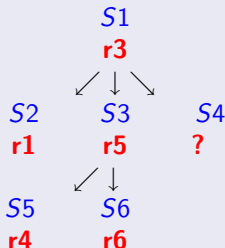


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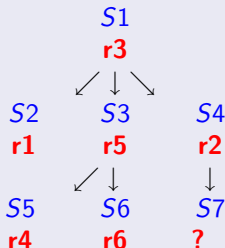


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# Proof of S1

$r1_{\overline{S2}}$     $r2_{\overline{S4}}$     $r3_{\overline{S2} \overline{S3} \overline{S4}}$     $r4_{\overline{S5}}$     $r5_{\overline{S5} \overline{S6}}$     $r6_{\overline{S6}}$     $r7_{\overline{S7}}$

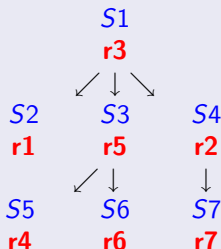


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# Proof of S1

$r1 \overline{S2}$     $r2 \frac{S7}{S4}$     $r3 \frac{S2 \quad S3 \quad S4}{S1}$     $r4 \overline{S5}$     $r5 \frac{S5 \quad S6}{S3}$     $r6 \overline{S6}$     $r7 \overline{S7}$

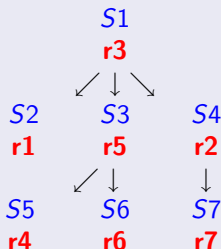


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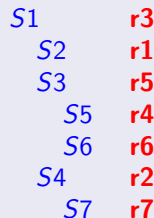
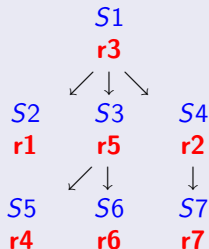
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# Alternate Representation of the Proof Tree

A **vertical representation** of the proof tree:



# Proof of $S1$

$$r1 \frac{}{S2}$$

$$r2 \frac{S7}{S4}$$

$$r3 \frac{S2 \quad S3 \quad S4}{S1}$$

$$r4 \frac{}{S5}$$

$$r5 \frac{S5 \quad S6}{S3}$$

$$r6 \frac{}{S6}$$

$$r7 \frac{}{S7}$$

$S1$

?



# Proof of $S1$

 $r1 \frac{}{S2}$ 
 $r2 \frac{S7}{S4}$ 
 $r3 \frac{S2 \quad S3 \quad S4}{S1}$ 
 $r4 \frac{}{S5}$ 
 $r5 \frac{S5 \quad S6}{S3}$ 
 $r6 \frac{}{S6}$ 
 $r7 \frac{}{S7}$ 
 $S1$ 
 $r3$ 
 $S2$ 
 $?$ 
 $S3$ 
 $?$ 
 $S4$ 
 $?$ 


# Proof of $S1$

$$r1 \frac{}{S2} \quad r2 \frac{S7}{S4} \quad r3 \frac{S2 \quad S3 \quad S4}{S1} \quad r4 \frac{}{S5} \quad r5 \frac{S5 \quad S6}{S3} \quad r6 \frac{}{S6} \quad r7 \frac{}{S7}$$

$S1$

$r3$

$S2$

$r1$

$S3$

$?$

$S4$

$?$



# Proof of S1

$$\begin{array}{ccccccc}
 \text{r1} \frac{}{S2} & \text{r2} \frac{S7}{S4} & \text{r3} \frac{S2 \quad S3 \quad S4}{S1} & \text{r4} \frac{}{S5} & \text{r5} \frac{S5 \quad S6}{S3} & \text{r6} \frac{}{S6} & \text{r7} \frac{}{S7} \\
 \\
 & & S1 & & \text{r3} & & \\
 & & S2 & & \text{r1} & & \\
 & & S3 & & \text{r5} & & \\
 & & & S5 & ? & & \\
 & & & S6 & ? & & \\
 & & S4 & & ? & & 
 \end{array}$$



# Proof of $S1$

$$\begin{array}{ccccccc}
 \text{r1 } \overline{S2} & \text{r2 } \frac{S7}{S4} & \text{r3 } \frac{S2 \ S3 \ S4}{S1} & \text{r4 } \overline{S5} & \text{r5 } \frac{S5 \ S6}{S3} & \text{r6 } \overline{S6} & \text{r7 } \overline{S7} \\
 \\
 & & S1 & & \text{r3} & & \\
 & & S2 & & \text{r1} & & \\
 & & S3 & & \text{r5} & & \\
 & & & S5 & \text{r4} & & \\
 & & & S6 & ? & & \\
 & & S4 & & ? & & 
 \end{array}$$



# Proof of $S1$

$$r1 \frac{}{S2} \quad r2 \frac{S7}{S4} \quad r3 \frac{S2 \quad S3 \quad S4}{S1} \quad r4 \frac{}{S5} \quad r5 \frac{S5 \quad S6}{S3} \quad r6 \frac{}{S6} \quad r7 \frac{}{S7}$$

$S1$

$S2$

$S3$

$S5$

$S6$

$S4$

$r3$

$r1$

$r5$

$r4$

$r6$

$?$



# Proof of $S1$

$$r1 \frac{}{S2} \quad r2 \frac{S7}{S4} \quad r3 \frac{S2 \quad S3 \quad S4}{S1} \quad r4 \frac{}{S5} \quad r5 \frac{S5 \quad S6}{S3} \quad r6 \frac{}{S6} \quad r7 \frac{}{S7}$$

$S1$

$S2$

$S3$

$S5$

$S6$

$S4$

$S7$

$r3$

$r1$

$r5$

$r4$

$r6$

$r2$

?





# Proof of S1

$$r1 \frac{}{S2} \quad r2 \frac{S7}{S4} \quad r3 \frac{S2 \quad S3 \quad S4}{S1} \quad r4 \frac{}{S5} \quad r5 \frac{S5 \quad S6}{S3} \quad r6 \frac{}{S6} \quad r7 \frac{}{S7}$$

S1

S2

S3

S4

S5

S6

S7

r3

r1

r5

r4

r6

r2

r7



## More on Sequent

- We supposedly have a **Predicate Language** (not defined yet)
- A **sequent** is denoted by:

$$H \vdash G$$

- $H$  is a (possibly empty) collection of predicates: **the hypotheses**
- $G$  is a predicate: **the goal**

### Meaning ...

Under the hypotheses of collection  $H$ , **prove** the goal  $G$



# Basic Inference Rules of Mathematical Reasoning

- **HYPOTHESIS**: If the **goal belongs to the hypotheses** of a sequent, then the sequent is proved,
- **MONOTONICITY**: Once a sequent is proved, any sequent with the **same goal** and **more hypotheses** is also proved,
- **CUT**: If you succeed in proving  $P$  under  $H$ , then  $P$  can be added to the collection  $H$  for proving a goal  $G$ .



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# Basic Inference Rules

$$\frac{}{H, P \vdash P}$$

HYP

$$\frac{H \vdash Q}{H, P \vdash Q}$$

MON

$$\frac{H \vdash P \quad H, P \vdash Q}{H \vdash Q}$$

CUT



# Basic Constructs of Propositional Calculus

Given predicates  $P$  and  $Q$ , we can construct:

- **CONJUNCTION:**  $P \wedge Q$
- **IMPLICATION:**  $P \Rightarrow Q$
- **NEGATION:**  $\neg P$



# Syntax

$$\begin{aligned} \textit{Predicate} &::= \textit{Predicate} \wedge \textit{Predicate} \\ &\textit{Predicate} \Rightarrow \textit{Predicate} \\ &\neg \textit{Predicate} \end{aligned}$$

- This syntax is ambiguous.





## More on Syntax

- Pairs of **matching parentheses** can be added freely.
- Operator  $\wedge$  is **associative**.
- Operator  $\Rightarrow$  is **not associative**:  $P \Rightarrow Q \Rightarrow R$  is not allowed.
- Write **explicitly**  $(P \Rightarrow Q) \Rightarrow R$  or  $P \Rightarrow (Q \Rightarrow R)$  .
- Operators have precedence in this **decreasing order**:  $\neg$  ,  $\wedge$  ,  $\Rightarrow$  .



## Extensions: Truth, Falsity, Disjunction and Equivalence

- **TRUTH:**  $\top$
- **FALSITY:**  $\perp$
- **DISJUNCTION:**  $P \vee Q$
- **EQUIVALENCE:**  $P \Leftrightarrow Q$



# Syntax

$$\begin{aligned} \text{Predicate} &::= \text{Predicate} \wedge \text{Predicate} \\ &\text{Predicate} \Rightarrow \text{Predicate} \\ &\neg \text{Predicate} \\ &\perp \\ &\top \\ &\text{Predicate} \vee \text{Predicate} \\ &\text{Predicate} \Leftrightarrow \text{Predicate} \end{aligned}$$


## More on Syntax

- Pairs of **matching parentheses** can be added freely.
- Operators  $\wedge$  and  $\vee$  are **associative**.
- Operator  $\Rightarrow$  and  $\Leftrightarrow$  are **not associative**.
- Precedence **decreasing order**:  $\neg$ ,  $\wedge$  and  $\vee$ ,  $\Rightarrow$  and  $\Leftrightarrow$ .



## More on Syntax (cont'd)

- The **mixing** of  $\wedge$  and  $\vee$  **without parentheses** is not allowed.
- You have to write either  $P \wedge (Q \vee R)$  or  $(P \wedge Q) \vee R$
- The **mixing** of  $\Rightarrow$  and  $\Leftrightarrow$  **without parentheses** is not allowed.
- You have to write either  $P \Rightarrow (Q \Leftrightarrow R)$  or  $(P \Rightarrow Q) \Leftrightarrow R$



# Propositional Calculus Rules of Inference (1)

- Rules about conjunction

$$\frac{H, P, Q \vdash R}{H, P \wedge Q \vdash R} \text{ AND\_L}$$

$$\frac{H \vdash P \quad H \vdash Q}{H \vdash P \wedge Q} \text{ AND\_R}$$

- Rules about implication

$$\frac{H, P, Q \vdash R}{H, P, P \Rightarrow Q \vdash R} \text{ IMP\_L}$$

$$\frac{H, P \vdash Q}{H \vdash P \Rightarrow Q} \text{ IMP\_R}$$

## Note

Rules with a **double horizontal line** can be applied in **both directions**.



## Propositional Calculus Rules of Inference (2)

- Rules about disjunction

$$\frac{H, P \vdash R \quad H, Q \vdash R}{H, P \vee Q \vdash R} \text{ OR\_L}$$

$$\frac{H, \neg P \vdash Q}{H \vdash P \vee Q} \text{ OR\_R}$$



# Propositional Calculus Rules of Inference (3)

- Rules about negation

$$\frac{H, \neg Q \vdash P}{H, \neg P \vdash Q} \quad \text{NOT\_L}$$

$$\frac{H, P \vdash \perp}{H \vdash \neg P} \quad \text{NOT\_R}$$

$$\frac{}{H, \perp \vdash P} \quad \text{FALSE\_L}$$

$$\frac{H \vdash P \quad H \vdash \neg P}{H \vdash \perp} \quad \text{FALSE\_R}$$





# Propositional Calculus Rules of Inference (4)

- Deriving rules:

$$\frac{H, Q \vdash P \quad H, \neg Q \vdash P}{H \vdash P} \text{ CASE}$$

$$\frac{H, \neg Q \vdash \neg P}{H, P \vdash Q} \text{ CT\_L}$$

$$\frac{H, \neg P \vdash \perp}{H \vdash P} \text{ CT\_R}$$

$$\frac{H \vdash P}{H \vdash P \vee Q} \text{ OR\_R1}$$

$$\frac{H \vdash Q}{H \vdash P \vee Q} \text{ OR\_R2}$$



# Propositional Calculus Rules of Inference (4)

- Rewriting rules:

Predicate	Rewritten
$\top$	$\neg \perp$
$P \Leftrightarrow Q$	$(P \Rightarrow Q) \wedge (Q \Rightarrow P)$

- More derived rules:

$$\frac{}{H \vdash \top} \text{ TRUE\_R}$$

$$\frac{H \vdash P}{H, \top \vdash P} \text{ TRUE\_L}$$



# CLASSICAL RESULTS (1)

commutativity	$P \vee Q \Leftrightarrow Q \vee P$ $P \wedge Q \Leftrightarrow Q \wedge P$ $(P \Leftrightarrow Q) \Leftrightarrow (Q \Leftrightarrow P)$
associativity	$(P \vee Q) \vee R \Leftrightarrow P \vee (Q \vee R)$ $(P \wedge Q) \wedge R \Leftrightarrow P \wedge (Q \wedge R)$ $((P \Leftrightarrow Q) \Leftrightarrow R) \Leftrightarrow (P \Leftrightarrow (Q \Leftrightarrow R))$
distributivity	$R \wedge (P \vee Q) \Leftrightarrow (R \wedge P) \vee (R \wedge Q)$ $R \vee (P \wedge Q) \Leftrightarrow (R \vee P) \wedge (R \vee Q)$ $R \Rightarrow (P \wedge Q) \Leftrightarrow (R \Rightarrow P) \wedge (R \Rightarrow Q)$ $(P \vee Q) \Rightarrow R \Leftrightarrow (P \Rightarrow R) \wedge (Q \Rightarrow R)$



# CLASSICAL RESULTS (2)

excluded middle	$P \vee \neg P$
idempotence	$P \vee P \Leftrightarrow P$ $P \wedge P \Leftrightarrow P$
absorbtion	$(P \vee Q) \wedge P \Leftrightarrow P$ $(P \wedge Q) \vee P \Leftrightarrow P$
truth	$(P \Leftrightarrow \top) \Leftrightarrow P$
falsity	$(P \Leftrightarrow \perp) \Leftrightarrow \neg P$



# CLASSICAL RESULTS (3)

de Morgan	$\neg(P \vee Q) \Leftrightarrow (\neg P \wedge \neg Q)$ $\neg(P \wedge Q) \Leftrightarrow (\neg P \vee \neg Q)$ $\neg(P \wedge Q) \Leftrightarrow (P \Rightarrow \neg Q)$ $\neg(P \Rightarrow Q) \Leftrightarrow (P \wedge \neg Q)$
contraposition	$(P \Rightarrow Q) \Leftrightarrow (\neg Q \Rightarrow \neg P)$ $(\neg P \Rightarrow Q) \Leftrightarrow (\neg Q \Rightarrow P)$ $(P \Rightarrow \neg Q) \Leftrightarrow (Q \Rightarrow \neg P)$
double negation	$P \Leftrightarrow \neg \neg P$



# CLASSICAL RESULTS (4)

transitivity	$(P \Rightarrow Q) \wedge (Q \Rightarrow R) \Rightarrow (P \Rightarrow R)$
monotonicity	$(P \Rightarrow Q) \Rightarrow ((P \wedge R) \Rightarrow (Q \wedge R))$ $(P \Rightarrow Q) \Rightarrow ((P \vee R) \Rightarrow (Q \vee R))$ $(P \Rightarrow Q) \Rightarrow ((R \Rightarrow P) \Rightarrow (R \Rightarrow Q))$ $(P \Rightarrow Q) \Rightarrow ((Q \Rightarrow R) \Rightarrow (P \Rightarrow R))$ $(P \Rightarrow Q) \Rightarrow (\neg Q \Rightarrow \neg P)$
equivalence	$(P \Leftrightarrow Q) \Rightarrow ((P \wedge R) \Leftrightarrow (Q \wedge R))$ $(P \Leftrightarrow Q) \Rightarrow ((P \vee R) \Leftrightarrow (Q \vee R))$ $(P \Leftrightarrow Q) \Rightarrow ((R \Rightarrow P) \Leftrightarrow (R \Rightarrow Q))$ $(P \Leftrightarrow Q) \Rightarrow ((P \Rightarrow R) \Leftrightarrow (Q \Rightarrow R))$ $(P \Leftrightarrow Q) \Rightarrow (\neg P \Leftrightarrow \neg Q)$



# Syntax of our Predicate Language so far

$$\begin{aligned} \text{predicate} \quad ::= & \quad \perp \\ & \quad \top \\ & \quad \neg \text{predicate} \\ & \quad \text{predicate} \wedge \text{predicate} \\ & \quad \text{predicate} \vee \text{predicate} \\ & \quad \text{predicate} \Rightarrow \text{predicate} \\ & \quad \text{predicate} \Leftrightarrow \text{predicate} \end{aligned}$$

- The letter  $P$ ,  $Q$ , etc. we have used are **generic variables**.
- Each of them stands for a **predicate**.
- All our **proofs** were thus **also generic** (able to be **instantiated**).



# Refining our Language: Predicate Calculus

$predicate ::= \bot$   
 $\top$   
 $\neg predicate$   
 $predicate \wedge predicate$   
 $predicate \vee predicate$   
 $predicate \Rightarrow predicate$   
 $predicate \Leftrightarrow predicate$   
 $\forall var\_list \cdot predicate$   
 $[var\_list := exp\_list] predicate$   
  
 $expression ::= variable$   
 $[var\_list := exp\_list] expression$   
 $expression \mapsto expression$   
  
 $variable ::= identifier$





# On Predicates and Expressions

- A Predicate is a formal text that can be PROVED
- An Expression DENOTES AN OBJECT.
- A Predicate denotes NOTHING.
- An Expression CANNOT BE PROVED
- Predicates and Expressions are INCOMPATIBLE.



# Predicate Calculus: Linguistic Concepts.

- Substitution and Universal Quantification.
- Free/Bound Occurrences.
- Inference rules.
- Extension



# VARIABLES, PROPOSITIONS AND PREDICATES

- A Proposition:  $8 \in \mathbb{N} \Rightarrow 8 \geq 0$
- A Predicate ( $n$  is a **variable**):  $n \in \mathbb{N} \Rightarrow n \geq 0$



# WHAT CAN WE DO WITH A PREDICATE ?

- Specialize it: **Substitution**

$$[n := 8](n \in \mathbb{N} \Rightarrow n \geq 0)$$

↓

$$8 \in \mathbb{N} \Rightarrow 8 \geq 0$$

- Generalize it: **Universal Quantification**

$$\forall n \cdot (n \in \mathbb{N} \Rightarrow n \geq 0)$$



# SUBSTITUTION

## Simple Substitution

$$[x := E] P$$

- $x$  is a VARIABLE,
- $E$  is an EXPRESSION,
- $P$  is a PREDICATE,
- Denotes the predicate obtained by replacing all FREE OCCURRENCES of  $x$  by  $E$  in  $P$ .



# UNIVERSAL QUANTIFICATION

## Universal Quantification

$$\forall x \cdot P$$

- $x$  is said to be the QUANTIFIED VARIABLE
- $P$  forms the SCOPE of  $x$
- To say that such a predicate is proved, is the same as saying that all predicates of the following form are proved:

$$[x := E]P$$



# Free and Bound Occurrences

- Occurrences of the variable  $n$  are FREE (substitutable) in:

$$n \in \mathbb{N} \Rightarrow n \geq 0$$

- Occurrences of the variable  $n$  are BOUND (not substitutable) in:

$$[n := 8] (n \in \mathbb{N} \Rightarrow n \geq 0)$$

$$\forall n \cdot (n \in \mathbb{N} \Rightarrow n \geq 0)$$



# Inference Rules for Predicate Calculus

$$\frac{H, \forall x \cdot P, [x := E]P \vdash Q}{H, \forall x \cdot P \vdash Q} \quad \text{ALL\_L}$$

where **E** is an expression

$$\frac{H \vdash P}{H \vdash \forall x \cdot P} \quad \text{ALL\_R}$$

- In rule ALL\_R, variable **x** is not free in H





# Extending the language: Existential Quantification

$predicate ::= \perp$   
 $\top$   
 $\neg predicate$   
 $predicate \wedge predicate$   
 $predicate \vee predicate$   
 $predicate \Rightarrow predicate$   
 $predicate \Leftrightarrow predicate$   
 $\forall var\_list \cdot predicate$   
 $\exists var\_list \cdot predicate$   
 $[var\_list := exp\_list] predicate$

$expression ::= variable$   
 $[var\_list := exp\_list] expression$   
 $expression \mapsto expression$

$variable ::= identifier$



# Rules of Inference for Existential Quantification

$$\frac{H, P \vdash Q}{H, \exists x \cdot P \vdash Q} \quad \text{XST\_L}$$

- In rule XST\_L, variable **x** is not free in **H** and **Q**

$$\frac{H \vdash [x := E]P}{H \vdash \exists x \cdot P} \quad \text{XST\_R}$$

where **E** is an expression



# Comparing the Quantification Rules

$$\frac{H, \forall x \cdot P, [x := E]P \vdash Q}{H, \forall x \cdot P \vdash Q} \quad \text{ALL\_L}$$

$$\frac{H \vdash [x := E]P}{H \vdash \exists x \cdot P} \quad \text{XST\_R}$$

$$\frac{H \vdash P}{H \vdash \forall x \cdot P} \quad \text{ALL\_R}$$

$$\frac{H, P \vdash Q}{H, \exists x \cdot P \vdash Q} \quad \text{XST\_L}$$



# CLASSICAL RESULTS (1)

commutativity	$\forall x \cdot \forall y \cdot P \Leftrightarrow \forall y \cdot \forall x \cdot P$ $\exists x \cdot \exists y \cdot P \Leftrightarrow \exists y \cdot \exists x \cdot P$
distributivity	$\forall x \cdot (P \wedge Q) \Leftrightarrow \forall x \cdot P \wedge \forall x \cdot Q$ $\exists x \cdot (P \vee Q) \Leftrightarrow \exists x \cdot P \vee \exists x \cdot Q$
associativity	<p>if <math>x</math> not free in <math>P</math></p> $P \vee \forall x \cdot Q \Leftrightarrow \forall x \cdot (P \vee Q)$ $P \wedge \exists x \cdot Q \Leftrightarrow \exists x \cdot (P \wedge Q)$ $P \Rightarrow \forall x \cdot Q \Leftrightarrow \forall x \cdot (P \Rightarrow Q)$



## CLASSICAL RESULTS (2)

de Morgan laws	$\neg \forall x. P \Leftrightarrow \exists x. \neg P$ $\neg \exists x. P \Leftrightarrow \forall x. \neg P$ $\neg \forall x. (P \Rightarrow Q) \Leftrightarrow \exists x. (P \wedge \neg Q)$ $\neg \exists x. (P \wedge Q) \Leftrightarrow \forall x. (P \Rightarrow \neg Q)$
monotonicity	$\forall x. (P \Rightarrow Q) \Rightarrow (\forall x. P \Rightarrow \forall x. Q)$ $\forall x. (P \Rightarrow Q) \Rightarrow (\exists x. P \Rightarrow \exists x. Q)$
equivalence	$\forall x. (P \Leftrightarrow Q) \Rightarrow (\forall x. P \Leftrightarrow \forall x. Q)$ $\forall x. (P \Leftrightarrow Q) \Rightarrow (\exists x. P \Leftrightarrow \exists x. Q)$



# Summary of Logical Operators

$P \wedge Q$	$\neg P$
$P \vee Q$	$\forall x \cdot P$
$P \Rightarrow Q$	$\exists x \cdot P$



# Refining our Language: Equality

$predicate ::= \bot$   
 $\top$   
 $\neg predicate$   
 $predicate \wedge predicate$   
 $predicate \vee predicate$   
 $predicate \Rightarrow predicate$   
 $predicate \Leftrightarrow predicate$   
 $\forall variable \cdot predicate$   
 $\exists variable \cdot predicate$   
 $[variable := expression] predicate$   
 $expression = expression$

$expression ::= \dots$

$variable ::= \dots$



# Equality Rules of Inference

$$\frac{[x := F]H, E = F \vdash [x := F]P}{[x := E]H, E = F \vdash [x := E]P} \quad \text{EQ\_LR}$$

$$\frac{[x := E]H, E = F \vdash [x := E]P}{[x := F]H, E = F \vdash [x := F]P} \quad \text{EQ\_RL}$$

- Rewriting rules:

Operator	Predicate	Rewritten
Equality	$E = E$	$\top$
Equality of pairs	$E \mapsto F = G \mapsto H$	$E = G \wedge F = H$





# Classical Results for Equality

symmetry	$E = F \Leftrightarrow F = E$
transitivity	$E = F \wedge F = G \Rightarrow E = G$
One-point rules	<p>if <math>x</math> not free in <math>E</math></p> $\forall x \cdot (x = E \Rightarrow P) \Leftrightarrow [x := E]P$ $\exists x \cdot (x = E \wedge P) \Leftrightarrow [x := E]P$



# Refining our Language: Set Theory (1)

*predicate* ::=  $\perp$   
 $\top$   
 $\neg$  *predicate*  
*predicate*  $\wedge$  *predicate*  
*predicate*  $\vee$  *predicate*  
*predicate*  $\Rightarrow$  *predicate*  
*predicate*  $\Leftrightarrow$  *predicate*  
 $\forall$  *var\_list*  $\cdot$  *predicate*  
 $\exists$  *var\_list*  $\cdot$  *predicate*  
 $[var\_list := exp\_list]$  *predicate*  
*expression* = *expression*  
*expression*  $\in$  *set*



## Refining our Language: Set Theory (2)

$$\begin{aligned} \text{expression} &::= \text{variable} \\ &\quad [\text{var\_list} := \text{exp\_list}] \text{expression} \\ &\quad \text{expression} \mapsto \text{expression} \\ &\quad \text{set} \\ \\ \text{variable} &::= \text{identifier} \\ \\ \text{set} &::= \text{set} \times \text{set} \\ &\quad \mathbb{P}(\text{set}) \\ &\quad \{ \text{var\_list} \cdot \text{predicate} \mid \text{expression} \} \end{aligned}$$

- When *expression* is the same as *var\_list*, the last construct can be written  $\{ \text{var\_list} \mid \text{predicate} \}$



# Set Theory

## 1 Basis

- Basic operators

## 2 Extensions

- Elementary operators
- Generalization of elementary operators
- Binary relation operators
- Function operators



# Set Theory: Membership

- Set theory deals with a new predicate: the **membership** predicate

$$E \in S$$

where  $E$  is an **expression** and  $S$  is a **set**



# Set Theory: Basic Constructs

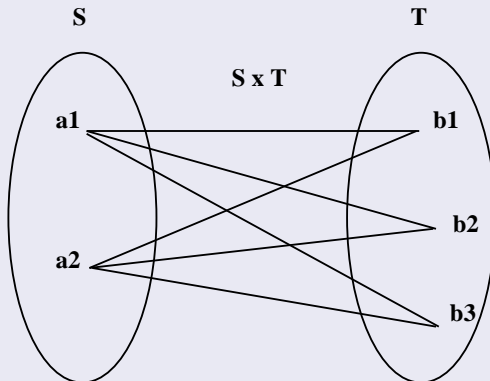
There are **three basic constructs** in set theory:

Cartesian product	$S \times T$
Power set	$\mathbb{P}(S)$
Comprehension 1	$\{x \cdot P \mid F\}$
Comprehension 2	$\{x \mid P\}$

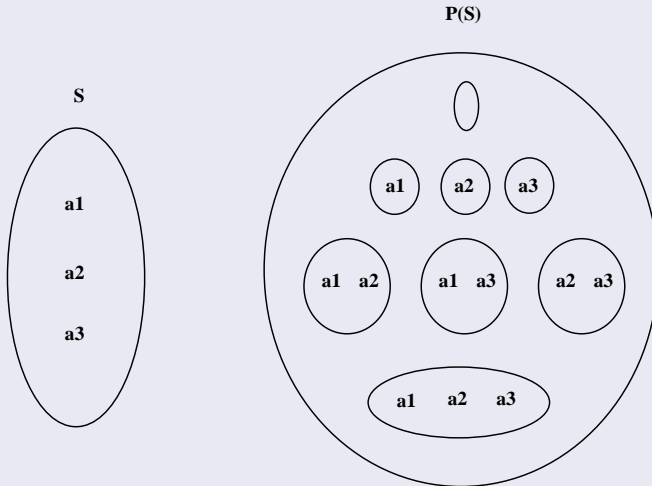
where  $S$  and  $T$  are **sets**,  $x$  is a **variable** and  $P$  is a **predicate**.



# Cartesian Product

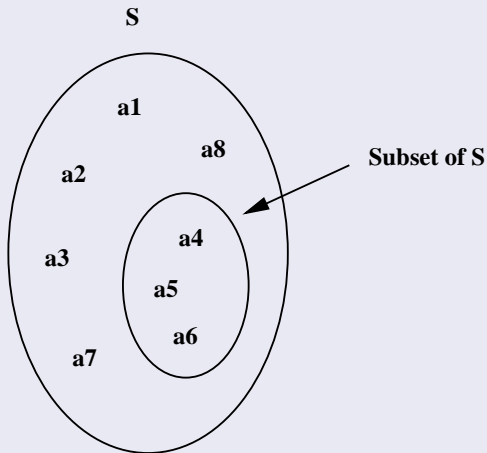


# Power Set





# Set Comprehension



# Basic Set Operator Memberships (Axioms)

These axioms are defined by **equivalences**.

Left Part	Right Part
$E \mapsto F \in S \times T$	$E \in S \wedge F \in T$
$S \in \mathbb{P}(T)$	$\forall x \cdot (x \in S \Rightarrow x \in T)$ ( <b>x is not free in S and T</b> )
$E \in \{x \cdot P \mid F\}$	$\exists x \cdot P \wedge E = F$ ( <b>x is not free in E</b> )
$E \in \{x \mid P\}$	$[x := E]P$ ( <b>x is not free in E</b> )



# Set Inclusion and Extensionality Axiom

Left Part	Right Part
$S \subseteq T$	$S \in \mathbb{P}(T)$
$S = T$	$S \subseteq T \wedge T \subseteq S$

The first rule is just a syntactic extension

The second rule is the Extensionality Axiom



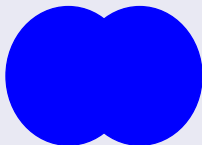
# Elementary Set Operators

Union	$S \cup T$
Intersection	$S \cap T$
Difference	$S \setminus T$
Extension	$\{a, \dots, b\}$
Empty set	$\emptyset$

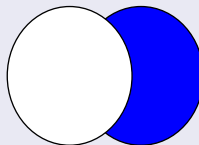


# Union, Difference, Intersection

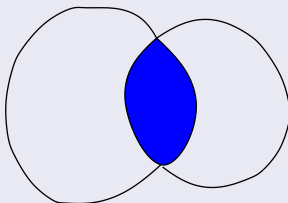
Union



Difference



Intersection



# Elementary Set Operator Memberships

$E \in S \cup T$	$E \in S \vee E \in T$
$E \in S \cap T$	$E \in S \wedge E \in T$
$E \in S \setminus T$	$E \in S \wedge E \notin T$
$E \in \{a, \dots, b\}$	$E = a \vee \dots \vee E = b$
$E \in \emptyset$	$\perp$



# Summary of Basic and Elementary Operators

$S \times T$	$S \cup T$
$\mathbb{P}(S)$	$S \cap T$
$\{x \cdot P \mid F\}$	$S \setminus T$
$S \subseteq T$	$\{a, \dots, b\}$
$S = T$	$\emptyset$



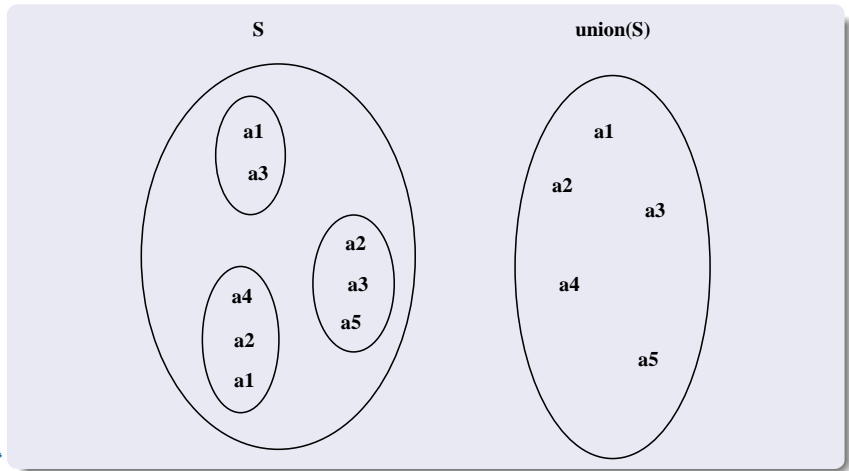
# Generalizations of Elementary Operators

Generalized Union	$\text{union}(S)$
Union Quantifier	$\bigcup x \cdot (P \mid T)$
Generalized Intersection	$\text{inter}(S)$
Intersection Quantifier	$\bigcap x \cdot (P \mid T)$

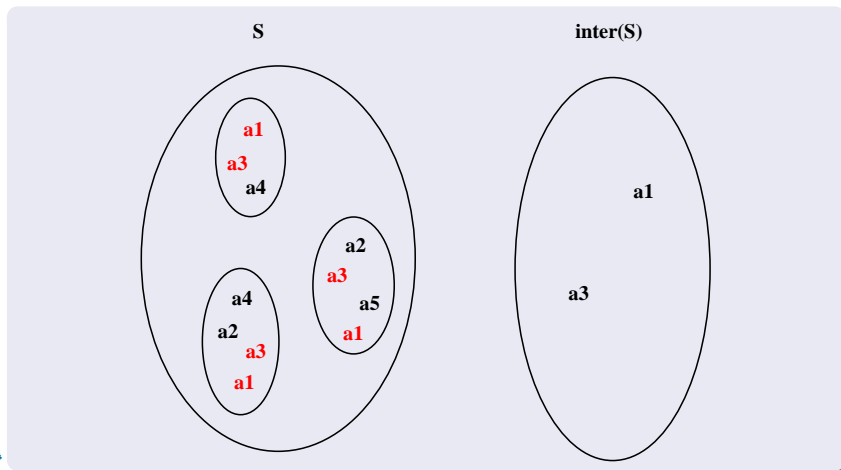




# Generalized Union



# Generalized Intersection



# Generalizations of Elementary Operator Memberships

$E \in \text{union}(S)$	$\exists s \cdot s \in S \wedge E \in s$ (s is not free in S and E)
$E \in (\bigcup x \cdot P \mid T)$	$\exists x \cdot P \wedge E \in T$ (x is not free in E)
$E \in \text{inter}(S)$	$\forall s \cdot s \in S \Rightarrow E \in s$ (s is not free in S and E)
$E \in (\bigcap x \cdot P \mid T)$	$\forall x \cdot P \Rightarrow E \in T$ (x is not free in E)

Well-definedness condition for case 3:  $S \neq \emptyset$

Well-definedness condition for case 4:  $\exists x \cdot P$



# Summary of Generalizations of Elementary Operators

union ( $S$ )

$\bigcup x \cdot P \mid T$

inter ( $S$ )

$\bigcap x \cdot P \mid T$

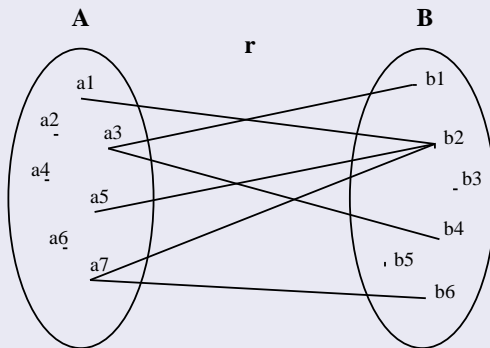


# Binary Relation Operators (1)

Binary relations	$S \leftrightarrow T$
Domain	$\text{dom}(r)$
Range	$\text{ran}(r)$
Converse	$r^{-1}$



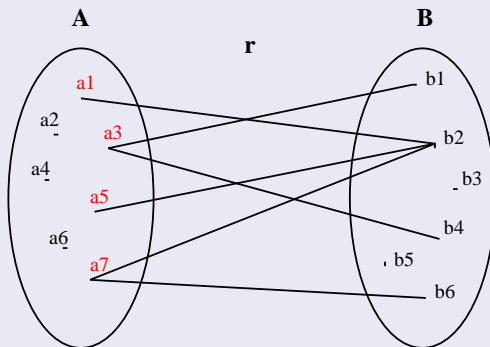
# A Binary Relation $r$ from a Set $A$ to a Set $B$



$$r \in A \leftrightarrow B$$



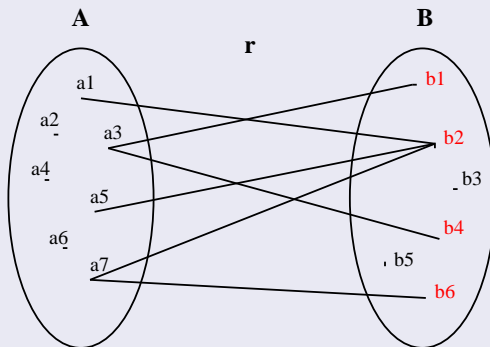
# Domain of Binary Relation $r$



$$\text{dom}(r) = \{a1, a3, a5, a7\}$$



# Range of Binary Relation $r$

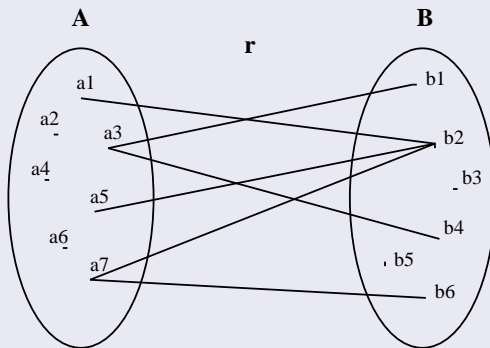


$$\text{ran}(r) = \{b1, b2, b4, b6\}$$





# Converse of Binary Relation $r$



$$r^{-1} = \{b1 \mapsto a2, b2 \mapsto a1, b2 \mapsto a3, b2 \mapsto a4, b2 \mapsto a5, b2 \mapsto a6, b2 \mapsto a7, b4 \mapsto a3, b6 \mapsto a7\}$$



# Binary Relation Operator Memberships (1)

Left Part	Right Part
$r \in S \leftrightarrow T$	$r \subseteq S \times T$
$E \in \text{dom}(r)$	$\exists y \cdot E \mapsto y \in r$ (y is not free in E and r)
$F \in \text{ran}(r)$	$\exists x \cdot x \mapsto F \in r$ (x is not free in F and r)
$E \mapsto F \in r^{-1}$	$F \mapsto E \in r$

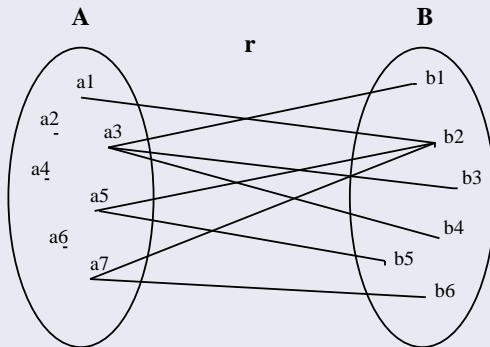


## Binary Relation Operators (2)

Partial surjective binary relations	$S \leftrightarrow T$
Total binary relations	$S \leftrightarrow T$
Total surjective binary relations	$S \leftrightarrow T$



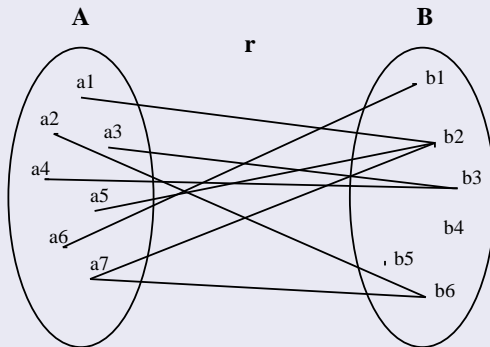
# A Partial Surjective Relation



$$r \in A \leftrightarrow B$$



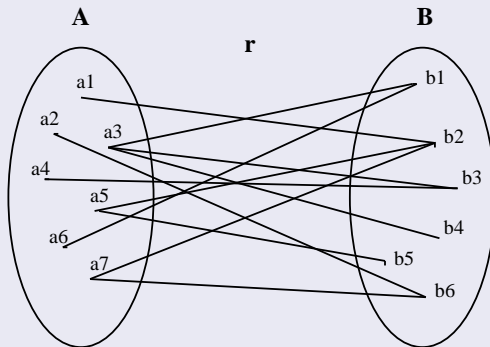
# A Total Relation



$$r \in A \leftrightarrow B$$



# A Total Surjective Relation



$$r \in A \leftrightarrow B$$



## Binary Relation Operator Memberships (2)

Left Part	Right Part
$r \in S \leftrightarrow T$	$r \in S \leftrightarrow T \wedge \text{ran}(r) = T$
$r \in S \Leftarrow T$	$r \in S \leftrightarrow T \wedge \text{dom}(r) = S$
$r \in S \Leftrightarrow T$	$r \in S \leftrightarrow T \wedge r \in S \Leftarrow T$



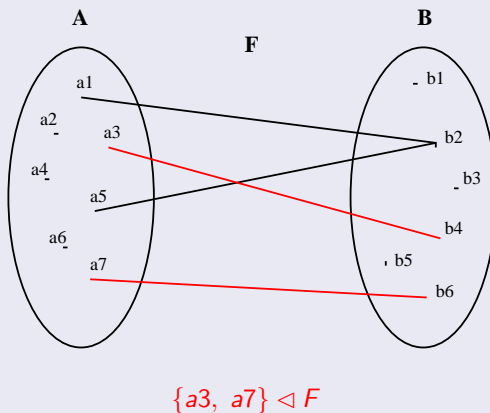
## Binary Relation Operators (3)

Domain restriction	$S \triangleleft r$
Range restriction	$r \triangleright T$
Domain subtraction	$S \triangleleft\!\!\!\triangleleft r$
Range subtraction	$r \triangleright\!\!\!\triangleright T$

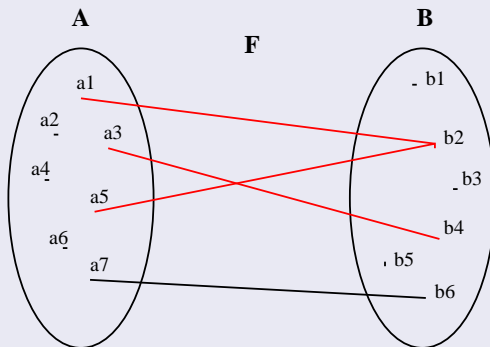




# The Domain Restriction Operator



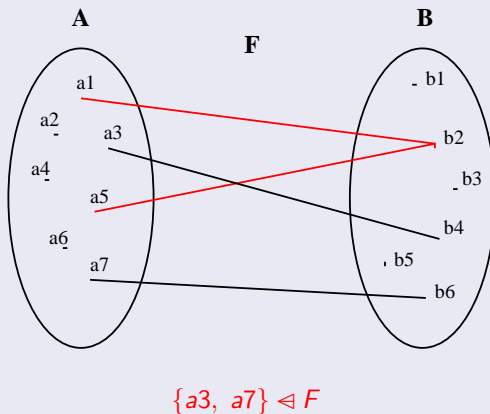
# The Range Restriction Operator



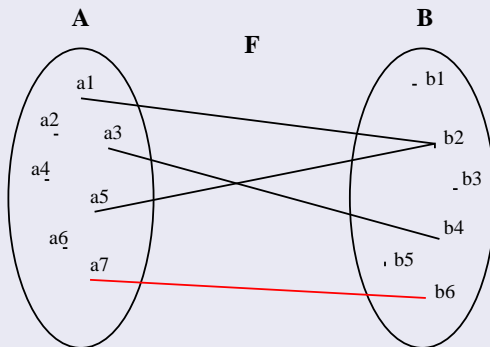
$$F \triangleright \{b2, b4\}$$



# The Domain Subtraction Operator



# The Range Substraction Operator



$$F \triangleright \{b2, b4\}$$



## Binary Relation Operator Memberships (3)

Left Part	Right Part
$E \mapsto F \in S \triangleleft r$	$E \in S \wedge E \mapsto F \in r$
$E \mapsto F \in r \triangleright T$	$E \mapsto F \in r \wedge F \in T$
$E \mapsto F \in S \triangleleft r$	$E \notin S \wedge E \mapsto F \in r$
$E \mapsto F \in r \triangleright T$	$E \mapsto F \in r \wedge F \notin T$

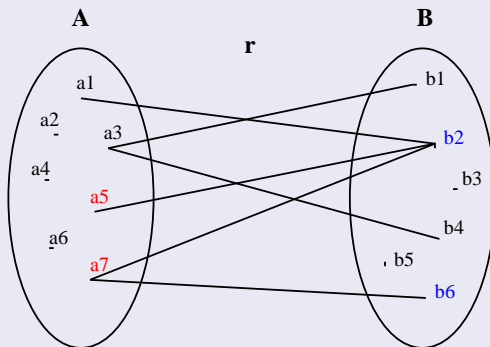


## Binary Relation Operators (4)

Image	$r[w]$
Composition	$p ; q$
Overriding	$p \triangleleft q$
Identity	$\text{id}(S)$



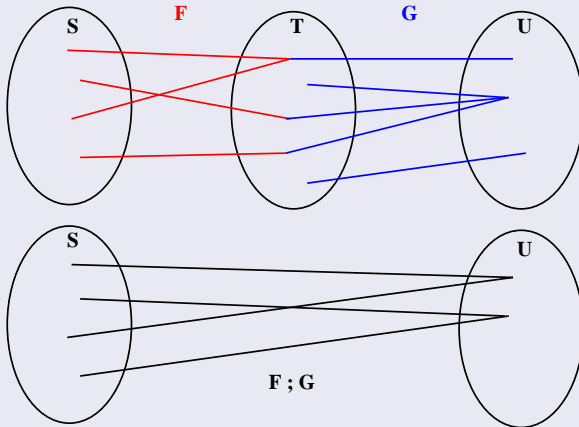
# Image of $\{a5, a7\}$ under $r$



$$r[\{a5, a7\}] = \{b2, b6\}$$

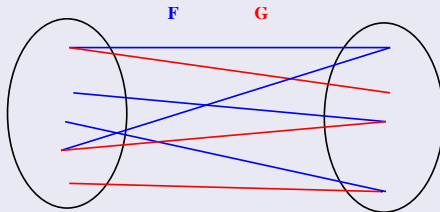


# Forward Composition

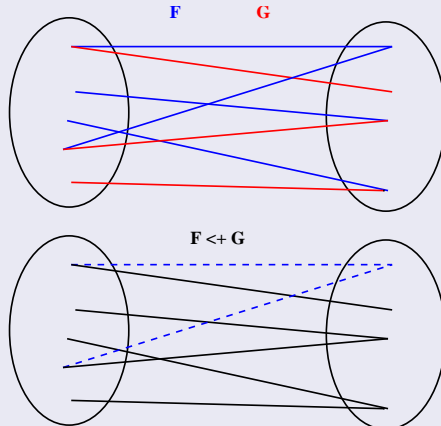




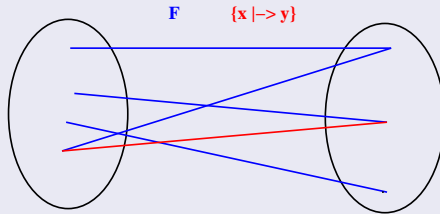
# The Overriding Operator



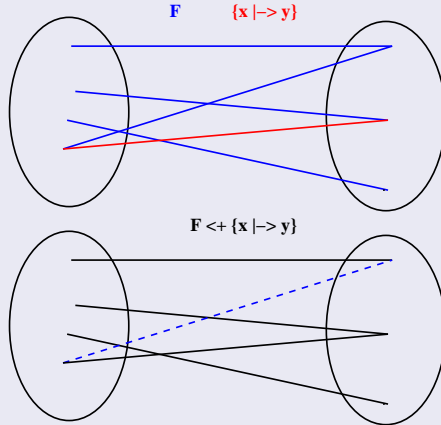
# The Overriding Operator



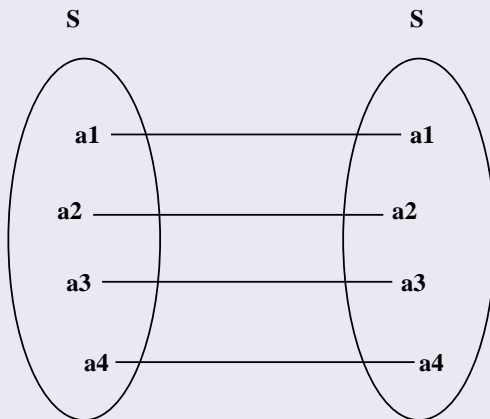
# Special Case



# Special Case



# The Identity Relation



## Binary Relation Operator Memberships (4)

$F \in r[w]$	$\exists x \cdot x \in w \wedge x \mapsto F \in r$ (x is not free in F, r and w)
$E \mapsto F \in (p ; q)$	$\exists x \cdot E \mapsto x \in p \wedge x \mapsto F \in q$ (x is not free in E, F, p and q)
$E \mapsto F \in p \triangleleft q$	$E \mapsto F \in (\text{dom}(q) \triangleleft p) \cup q$
$E \mapsto F \in \text{id}(S)$	$E \in S \wedge F = E$



## Binary Relation Operators (5)

Direct Product	$p \otimes q$
First Projection	$\text{prj}_1(S, T)$
Second Projection	$\text{prj}_2(S, T)$
Parallel Product	$p \parallel q$



## Binary Relation Operator Memberships (5)

$E \mapsto (F \mapsto G) \in p \otimes q$	$E \mapsto F \in p \wedge E \mapsto G \in q$
$(E \mapsto F) \mapsto G \in \text{prj}_1(S, T)$	$E \in S \wedge F \in T \wedge G = E$
$(E \mapsto F) \mapsto G \in \text{prj}_2(S, T)$	$E \in S \wedge F \in T \wedge G = F$
$(E \mapsto G) \mapsto (F \mapsto H) \in p \parallel q$	$E \mapsto F \in p \wedge G \mapsto H \in q$





# Summary of Binary Relation Operators

$S \leftrightarrow T$	$S \triangleleft r$	$r[w]$	$\text{prj}_1(S, T)$
$\text{dom}(r)$	$r \triangleright T$	$p ; q$	$\text{prj}_2(S, T)$
$\text{ran}(r)$	$S \triangleleft r$	$p \triangleleft q$	$\text{id}(S)$
$r^{-1}$	$r \triangleright T$	$p \otimes q$	$p \parallel q$



# Classical Results with Relation Operators

$$r^{-1-1} = r$$

$$\text{dom}(r^{-1}) = \text{ran}(r)$$

$$(S \triangleleft r)^{-1} = r^{-1} \triangleright S$$

$$(p ; q)^{-1} = q^{-1} ; p^{-1}$$

$$(p ; q) ; r = q ; (p ; r)$$

$$(p ; q)[w] = q[p[w]]$$

$$p ; (q \cup r) = (p ; q) \cup (p ; r)$$

$$r[a \cup b] = r[a] \cup r[b]$$

...



## More classical Results

Given a relation  $r$  such that  $r \in S \leftrightarrow S$

$$r = r^{-1}$$

$r$  is **symmetric**

$$r \cap r^{-1} = \emptyset$$

$r$  is **asymmetric**

$$r \cap r^{-1} \subseteq \text{id}(S)$$

$r$  is **antisymmetric**

$$\text{id}(S) \subseteq r$$

$r$  is **reflexive**

$$r \cap \text{id}(S) = \emptyset$$

$r$  is **irreflexive**

$$r; r \subseteq r$$

$r$  is **transitive**



# Translations into First Order Predicates

Given a relation  $r$  such that  $r \in S \leftrightarrow S$

$$\begin{array}{ll}
 r = r^{-1} & \forall x, y \cdot x \in S \wedge y \in S \Rightarrow (x \mapsto y \in r \Leftrightarrow y \mapsto x \in r) \\
 r \cap r^{-1} = \emptyset & \forall x, y \cdot x \mapsto y \in r \Rightarrow y \mapsto x \notin r \\
 r \cap r^{-1} \subseteq \text{id}(S) & \forall x, y \cdot x \mapsto y \in r \wedge y \mapsto x \in r \Rightarrow x = y \\
 \text{id}(S) \subseteq r & \forall x \cdot x \in S \Rightarrow x \mapsto x \in r \\
 r \cap \text{id}(S) = \emptyset & \forall x, y \cdot x \mapsto y \in r \Rightarrow x \neq y \\
 r; r \subseteq r & \forall x, y, z \cdot x \mapsto y \in r \wedge y \mapsto z \in r \Rightarrow x \mapsto z \in r
 \end{array}$$

Set-theoretic statements are **far more readable** than predicate calculus statements

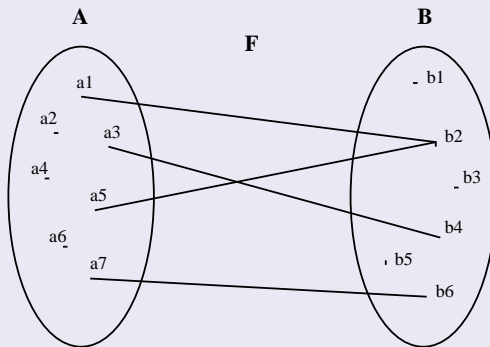


# Function Operators (1)

Partial functions	$S \rightarrowtail T$
Total functions	$S \rightarrow T$
Partial injections	$S \rightarrowtail\hookrightarrow T$
Total injections	$S \hookrightarrow T$



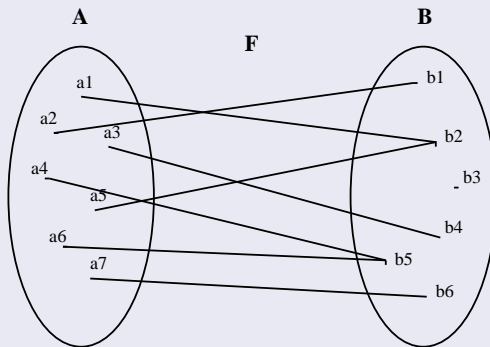
# A Partial Function $F$ from a Set $A$ to a Set $B$



$$F \in A \leftrightarrow B$$



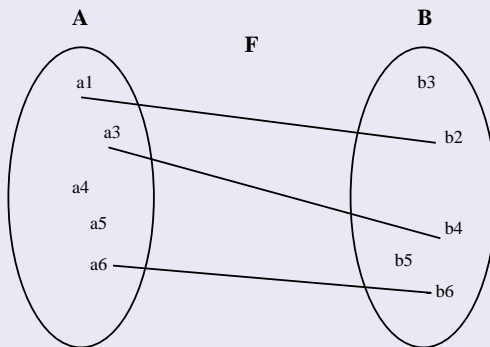
# A Total Function $F$ from a Set $A$ to a Set $B$



$$F \in A \rightarrow B$$



# A Partial Injection F from a Set A to a Set B

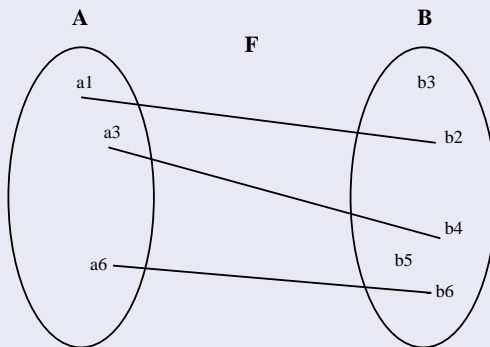


$$F \in A \rightsquigarrow B$$





# A Total Injection F from a Set A to a Set B



$$F \in A \rightarrow B$$



# Function Operator Memberships (1)

Left Part	Right Part
$f \in S \leftrightarrow T$	$f \in S \leftrightarrow T \wedge (f^{-1}; f) = \text{id}(\text{ran}(f))$
$f \in S \rightarrow T$	$f \in S \leftrightarrow T \wedge s = \text{dom}(f)$
$f \in S \rightsquigarrow T$	$f \in S \leftrightarrow T \wedge f^{-1} \in T \leftrightarrow S$
$f \in S \rightharpoonup T$	$f \in S \rightarrow T \wedge f^{-1} \in T \leftrightarrow S$

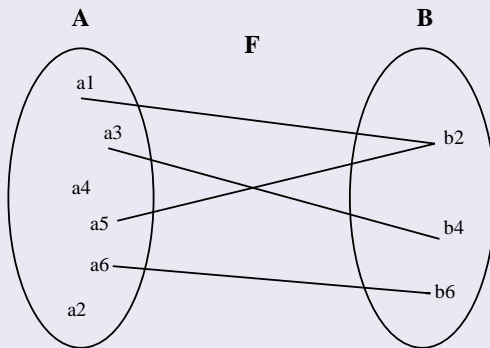


## Function Operators (2)

Partial surjections	$S \twoheadrightarrow T$
Total surjections	$S \twoheadrightarrow T$
Bijections	$S \xrightarrow{\sim} T$



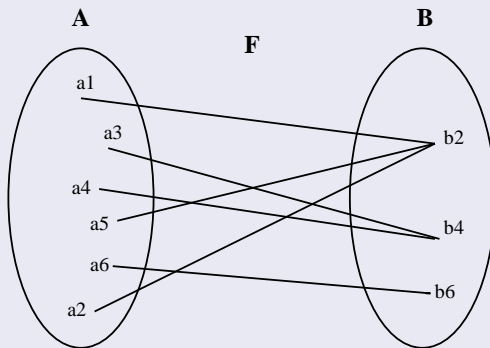
# A Partial Surjection F from a Set A to a Set B



$$F \in A \twoheadrightarrow B$$



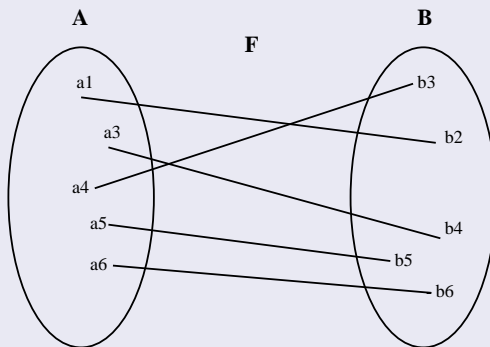
# A Total Surjection F from a Set A to a Set B



$$F \in A \twoheadrightarrow B$$



# A Bijection $F$ from a Set $A$ to a Set $B$



$$F \in A \rightarrow B$$



## Function Operator Memberships (2)

Left Part	Right Part
$f \in S \twoheadrightarrow T$	$f \in S \rightarrow T \wedge T = \text{ran}(f)$
$f \in S \rightarrow T$	$f \in S \rightarrow T \wedge T = \text{ran}(f)$
$f \in S \rightharpoonup T$	$f \in S \rightarrow T \wedge f \in S \rightarrow T$



# Summary of Function Operators

$S \leftrightarrow T$	$S \nleftrightarrow T$
$S \rightarrow T$	$S \nrightarrow T$
$S \rightsquigarrow T$	$S \nrightsquigarrow T$
$S \rightsquigarrow T$	





# Summary of all Set-theoretic Operators (40)

$S \times T$	$S \setminus T$	$r^{-1}$	$r[w]$	$\text{id}(S)$	$\{x \mid x \in S \wedge P\}$
$\mathbb{P}(S)$	$S \leftrightarrow T$ $S \Leftrightarrow T$	$S \triangleleft r$ $S \trianglelefteq r$	$p ; q$	$S \twoheadrightarrow T$ $S \rightarrow T$	$\{x \cdot x \in S \wedge P \mid E\}$
$S \subseteq T$	$S \longleftrightarrow T$ $S \leftrightarrow T$	$r \triangleright T$ $r \trianglerighteq T$	$p \triangleleft q$	$S \twoheadrightarrow T$ $S \rightarrow T$	$\{a, b, \dots, n\}$
$S \cup T$	$\text{dom}(r)$ $\text{ran}(r)$	$\text{prj}_1$	$p \otimes q$	$S \twoheadrightarrow T$ $S \rightarrow T$	union $\cup$
$S \cap T$	$\emptyset$	$\text{prj}_2$	$p \parallel q$	$S \twoheadrightarrow T$	inter $\cap$



# Applying a Function

Given a **partial function**  $f$ , we have

Left Part	Right Part
$F = f(E)$	$E \mapsto F \in f$

Well-definedness condition:  $E \in \text{dom}(f)$



## Example: a **Very Strict** Society

- Every person is either a man or a woman
- But no person can be a man and a woman at the same time
- Only women have husbands, who must be a man
- Woman have at most one husband
- Likewise, men have at most one wife
- Moreover, mother are married women



# Formal Representation

$$men \subseteq PERSON$$

$$women = PERSON \setminus men$$

$$husband \in women \rightsquigarrow men$$

$$mother \in PERSON \rightarrow \text{dom}(husband)$$

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# Defining New Concepts

$$\text{men} \subseteq \text{PERSON}$$

$$\text{women} = \text{PERSON} \setminus \text{men}$$

$$\text{husband} \in \text{women} \rightsquigarrow \text{men}$$

$$\text{mother} \in \text{PERSON} \rightarrow \text{dom}(\text{husband})$$

$$\text{wife} = \text{husband}^{-1}$$

$$\text{spouse} = \text{husband} \cup \text{wife}$$

$$\text{father} = \text{mother} ; \text{husband}$$



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$$children = (mother \cup father)^{-1}$$

$$daughter = children \triangleright women$$

$$sibling = (children^{-1} ; children) \setminus \text{id}(PERSON)$$



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# Exercises. To be defined

*brother* = ?

*sibling – in – law* = ?

*nephew – or – niece* = ?

*uncle – or – aunt* = ?

*cousin* = ?



## Exercises. To be proved

$$\text{mother} = \text{father} ; \text{wife}$$

$$\text{spouse} = \text{spouse}^{-1}$$

$$\text{sibling} = \text{sibling}^{-1}$$

$$\text{cousin} = \text{cousin}^{-1}$$

$$\text{father} ; \text{father}^{-1} = \text{mother} ; \text{mother}^{-1}$$

$$\text{father} ; \text{mother}^{-1} = \emptyset$$

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$$\text{father} ; \text{children} = \text{mother} ; \text{children}$$





# For Further Reading I



J-R. Abrial.

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